

1 **Massive outbreak of Influenza A H5N1 in elephant seals at Península Valdés, Argentina: increased**
2 **evidence for mammal-to-mammal transmission**

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19 **ABSTRACT**

20 H5N1 high pathogenicity avian influenza (HPAI) viruses of the clade 2.3.4.4b have killed thousands of
21 marine mammals in South America since 2022. In October 2023, following outbreaks in sea lions in
22 Argentina, we recorded unprecedented mass mortality (~17,000 individuals) in southern elephant seals
23 (*Mirounga leonina*) at Península Valdés. Seal pups were disproportionately affected. Adult seals departed
24 early, disrupting social and breeding structure. Frequent interactions with sea lions and scavenging by
25 seagulls were observed. Deaths of terns concurred with seals but peaked weeks later. HPAI H5N1 was
26 confirmed in seals and terns. Moreover, genomic characterization showed viruses from pinnipeds and
27 terns in Argentina form a distinct clade with marine mammal viruses from Peru, Chile and Brazil. These
28 mammal-clade viruses share an identical set of mammalian adaptation mutations which are notably also

29 found in the terns. Our combined ecological and phylogenetic data support mammal-to-mammal
30 transmission and occasional mammal-to-bird spillover. To our knowledge, this is the first multinational
31 transmission of H5N1 viruses in mammals ever observed globally. The implication that H5N1 viruses are
32 becoming more evolutionary flexible and adapting to mammals in new ways could have global
33 consequences for wildlife, humans, and/or livestock.

34

35 INTRODUCTION

36 The emergence of H5N1 high pathogenicity avian influenza (HPAI) viruses from clade 2.3.4.4b in 2020
37 triggered numerous outbreaks in wildlife worldwide¹. In Europe and southern Africa, impacts to wildlife
38 were particularly severe in seabird colonies, with losses in the tens of thousands²⁻⁵. In 2021–2022, these
39 H5N1 HPAI 2.3.4.4b viruses spread to North America, further impacting wildlife, especially waterbirds
40 and birds of prey⁶ and reassorting with endemic strains^{7,8}. The virus then spread to South America in 2022
41 via multiple introductions^{9,10}, causing unprecedented large-scale mortality of seabirds, with an estimated
42 death toll surpassing 650,000 individuals¹⁰⁻¹⁴.

43 H5N1 HPAI sporadically caused mortality of pinnipeds and cetaceans in Europe^{15,16} and North
44 America¹⁷⁻¹⁹, but it was only upon reaching the Pacific coast of South America that the virus
45 demonstrated an ability to cause large-scale mortality in marine mammals^{10,20}. More than 30,000 South
46 American sea lions (*Otaria byronia*) died as H5N1 virus spread along the coast of Peru and Chile in
47 2022–2023, with porpoises, dolphins and otters also being affected in smaller numbers^{10,12-14,20-22}.
48 Following the southward spread along the Pacific coast of South America, H5N1 HPAI viruses were
49 detected in sea lions at the southern tip of Chile in June 2023²². By early August, the virus was detected
50 for the first time on the Atlantic coast, in a sea lion rookery off southernmost Argentina. Then, over the
51 following weeks, the virus spread rapidly northward along Argentina's Atlantic coast, killing hundreds of
52 sea lions along Argentina's shores²³, eventually reaching Uruguay²⁴ and southern Brazil²⁵.

53 Shortly thereafter, in October 2023, we recorded unprecedented mass mortality in southern elephant seals
54 (*Mirounga leonina*) at Península Valdés in central Patagonia, Argentina, with an estimated death toll
55 surpassing 17,000 individuals²⁶. In this study, we present epidemiological data and full genome
56 characterization of H5N1 clade 2.3.4.4b viruses associated with the outbreak in elephant seals and with
57 concurrent tern mortality. We analyze data from the Península Valdés event and prior reports to
58 investigate potential pathways of H5N1 virus transmission among marine mammals and birds in South
59 America, and document a rapidly spreading H5N1 marine mammal clade carrying mammalian adaptation
60 mutations of potential public health concern.

61

62 RESULTS

63 **Elephant seal mortality at Punta Delgada breeding colony, Península Valdés.** On 10-Oct-2023, we
64 surveyed the breeding colony at Punta Delgada (Península Valdés, Argentina), and counted 218 living
65 and 570 dead pups (including weaners) (Table 1, Figure 1A). This represented more than 70-fold increase
66 in pup mortality rate compared to the prior year (71% in 2023 vs. 1% in 2022). By 13-Nov-2023, only 38
67 pups survived (95% mortality). At least 35 subadult/adult seal carcasses were recorded in the area,
68 whereas in previous years even a single dead adult seal was a rare sighting. Retrospective inquiries
69 suggest that navy personnel at the Punta Delgada lighthouse first observed signs of unusual mortality
70 around 25-Sep-2023, but the finding was not reported at that time. No unusual mortality was seen in
71 juveniles, which began gathering in usual numbers in November (Table 1).

72 The mortality event led to drastic changes in the elephant seal social structure (Table 1), with a
73 progressive replacement of mature alpha males by subadults and rapid decline in numbers of breeding
74 females. This manifested as a patchy distribution of seals with scattered females without pups as well as
75 abandoned and sick pups. By 13-Nov-2023, all breeding structure was dissolved. There were no harems,
76 only 9 males (all subadults not associated with females) and 9 females (8 with pup and 1 pupless) amidst
77 carcasses of elephant seals (Supplementary Figures 1A and 1B).

78 The absence of large alpha male elephant seals to chase away perceived intruders resulted in a larger
79 number of South American sea lions commingling or dead among breeding elephant seals at Punta
80 Delgada (Table 2). This prompted agonistic interactions with nursing elephant seal mothers
81 (Supplementary Figure 1C) and attempts at sexual interactions with pups (Supplementary Figure 1D).
82 Other interspecies interactions included the scavenging of elephant seal carcasses by kelp gulls (*Larus*
83 *dominicanus*) (Supplementary Figure 1E) and the presence of living and dead South American terns
84 (*Sterna hirundinacea*) amidst elephant seal carcasses (Supplementary Figure 1F). Some terns showed
85 neurological signs of disorientation, decreased fear response and difficulty/inability to fly, and were not in
86 social groups as would be expected. The tern death toll increased over time to almost 400 dead birds
87 (Table 2).

88 As per the temporal distribution of events, mortality of elephant seal pups peaked between 25-Sep-2023
89 and 10-Oct-2023, whereas the majority of terns died about three weeks later, between 3-Nov-2023 and
90 13-Nov-2023. This temporal delay also occurred in Argentina as a whole, with large-scale mortalities of
91 sea lions (mid-August to late September 2023) and elephant seals (late September to mid-October 2023)
92 preceding the large-scale mortality of terns (early to mid-November 2023).

93 **Clinical signs and post-mortem findings in elephant seals.** Elephant seal pups showing clinical signs
94 consistent with HPAI were seen during all field surveys in October and November 2023. Symptomatic
95 pups were lethargic, had difficulties to roll or galumph, and labored breathing, nasal discharge, repetitive
96 head or flipper movements and tremors (Figures 1B–D, Supplementary File 1). Most symptomatic pups
97 were motherless and alone or close to other abandoned or dead pups. During one field survey, several
98 pups were seen at risk of drowning with the incoming tide (Supplementary File 1). Ill and dead pups
99 ranged in age from newborn to about 3 weeks-old (i.e. about to wean). Some carcasses of freshly
100 deceased pups showed foam or mucous nasal discharge (Figure 1D), and abundant white foam drained
101 from the sectioned trachea of one individual (Figure 1E). It is unclear whether this was due to infection or
102 agonal drowning. The lungs of four pups showed a heterogeneous and congested surface (Figure 1F),
103 draining blood profusely when cut. We did not perform full necropsies due to biosecurity concerns;
104 hence, we did not examine other organs. Following deaths in the breeding areas, several elephant seals
105 hauled out at a second, aberrant location (Golfo Nuevo) in October–December 2023 (Supplementary
106 Figure 2, Supplementary Table 1). Of these, one subadult male died within two days after showing
107 clinical signs consistent with HPAI (tremors, labored breathing, yellowish and blood-stained nasal
108 discharge, hyperthermia; Figure 1G, Supplementary File 1).

109 **H5N1 HPAI viruses belong to clade 2.3.4.4b and genotype B3.2.** We tested swab samples from four
110 elephant seal pups, five South American terns and two royal terns (pooled according to sample type) and
111 an additional pool containing all samples from a sixth South American tern from Punta Delgada. Another
112 pool containing all samples from a dead subadult male elephant seal from Golfo Nuevo was also
113 analyzed. All pools were positive for the matrix gene of influenza A virus. Pools from elephant seals were
114 further tested for H5 clade 2.3.4.4b and were also positive (Supplementary Table 2). We performed whole
115 genome sequencing for: a pool of samples from one South American tern (CH-PD037) from Punta
116 Delgada, brain samples from one elephant seal pup (CH-PD035), one South American tern (CH-PD030)
117 and one royal tern (CH-PD036) from Punta Delgada, and a rectal sample from the symptomatic subadult
118 male elephant seal (CH-PM053) from Golfo Nuevo. We obtained five H5N1 HPAI virus genomes in this
119 study (Supplementary Table 3) and nucleotide sequences were deposited in GenBank (accession numbers
120 PP488310–PP488349).

121 **Distinct HPAI H5N1 viruses in avian and mammalian hosts.** We first compared our H5N1 HPAI
122 viruses in Península Valdés with other strains from South America, North America, and Eurasia during
123 2021–2023 to confirm that the H5N1 HPAI outbreaks that occurred in Argentina, Brazil, Chile, Peru,
124 Uruguay, and Antarctica from November 2022 to November 2023 all stem from a single introduction of
125 clade 2.3.4.4b genotype B3.2⁸ from North American wild birds into South America (Supplementary

126 Figure 3). B3.2 viruses have a reassortant genotype with four segments from the Eurasian H5 lineage
127 (PA, HA, NA, and MP) and four segments from low pathogenicity avian influenza viruses from the North
128 American lineage (PB2, PB1, NP, and NS). Our analysis shows that all H5N1 HPAI viruses in Argentina
129 have the 4:4 reassortant genotype B3.2, including the five viruses sequenced for this study, six viruses
130 sequenced from our previous report²³, and 46 viruses from poultry and one wild bird (Andean goose)
131 available in GISAID. However, Argentina's H5N1 HPAI viruses are not monophyletic (i.e., clustering
132 together as a single Argentina clade, separate from viruses from other countries). Instead, viruses
133 collected from poultry in inland Argentina cluster separately from viruses collected from Argentina's
134 coastal outbreaks in marine mammals and terns (Figure 2, Supplementary Figures 4–5). Argentina's
135 poultry viruses are positioned in a clade on the tree that includes (a) Argentina's earliest detected H5N1
136 HPAI virus (A/goose/Argentina/389-1/2023; this virus was detected on 11-Feb-2023 and is the only
137 currently available sequence for H5N1 HPAI viruses from inland wild birds in Argentina), (b) poultry
138 viruses from Uruguay and Chile, and (c) some wild bird viruses from Uruguay, Brazil, Chile, and
139 Antarctica. Within this clade, Argentina's poultry viruses are intermixed with viruses from other locations
140 and wild bird hosts, suggesting frequent virus movement across national borders and spillover between
141 wild birds and poultry.

142 Conversely, the vast majority of wild bird viruses from Peru and Chile are positioned in a different clade
143 (lower section of the tree in Figure 2). This wild bird clade is closely related (and basal) to a clade of
144 marine mammal viruses collected from four countries (Peru, Chile, Argentina, and Brazil). A quantitative
145 estimate of virus gene flow in the ancestry of our sample (through “Markov jump” counts, Figure 3A)
146 indicates that H5N1 HPAI viruses transmitted ~3x from wild birds to marine mammals on the Pacific
147 (western) coast of South America. Two wild bird-to-marine mammal transmissions in Peru appear to be
148 dead-end spillover events with no secondary cases (A/common dolphin/Peru/PIU-SER002/2022 and
149 A/South American sea lion/Peru/LIM-SER036/2023). In contrast, a third wild bird-to-marine mammal
150 transmission is associated with a multinational clade of 26 viruses, including 20 from marine mammals in
151 Peru (n = 1), Chile (n = 8), Argentina (n = 9), and Brazil (n = 2). The time-scaled MCC tree estimates that
152 the third wild bird-to-marine mammal transmission event occurred between 24-Nov-2022 and 7-Jan-
153 2023, based on the estimated time to the most recent common ancestor (Figure 2). The multinational
154 marine mammal clade also includes a human case from Chile (A/Chile/25945/2023), a wild bird virus
155 from Chile (A/sanderling/Arica y Parinacota/240265/2023), and four viruses obtained from terns in
156 Argentina (one South American tern from Punta Bermeja in August 2023, one royal tern and two South
157 American terns from Punta Delgada in October 2023) that are closely related to the marine mammal
158 viruses from Argentina. Our results showed that the human, sanderling, and four tern viruses positioned
159 in the marine mammal clade appear to be independent spillover events from marine mammals (Figures 2

160 and 3A). This is further supported by the fact that these viruses share mutations in PB2 that are associated
161 with mammalian adaptation and are present in viruses forming to the marine mammal clade (Figure 4).
162 Figure 5 summarizes our hypothesized pathway of spread of H5N1 HPAI viruses in South America based
163 on the molecular evidence and the chronology of reported detections.

164 **Lower evolutionary rate of HPAI H5N1 viruses in marine mammals.** To account for the possibility
165 that convergent evolution following host-switches could cause marine mammal viruses to artificially
166 cluster on the tree when they do not actually share common ancestry, phylogenies were inferred for: (a)
167 the entire virus genome (~13kb) (Figure 2) and (b) the third codon position only (Supplementary Figure
168 6). The similarity of the two trees suggests that the marine mammal clade is not merely an artifact of
169 strong convergent evolution for adaptive mutations in marine mammals following a host-switch, but
170 rather that the marine mammal clade is real (Supplementary Figures 4 and 12). If H5N1 HPAI viruses are
171 transmitting independently in marine mammals across multiple South American countries, a host-specific
172 local clock (HSLC) should be used to accommodate a different rate of evolution. The estimated rate of
173 evolution in the marine mammal clade (human and avian viruses excluded) using a HSLC was ~2-fold
174 lower (2.5×10^{-3} ; $2.0\text{--}3.0 \times 10^{-3}$ 95% HPD) than the avian rate (5.4×10^{-3} ; $4.9\text{--}5.9 \times 10^{-3}$ 95% HPD),
175 which includes wild birds and poultry but excludes spillovers into mammals (Figure 3B). The marine
176 mammal rate was still ~2-fold lower compared to birds when only the third codon position was
177 considered (Figure 3B). The eight genome segments showed strong purifying selection in both avian and
178 marine mammal hosts in South America, with dN/dS ratios under 0.3 (Supplementary Figure 7),
179 comparable to previous estimates²⁷.

180 **Global SNP analysis reveals mammal adaptation mutations and suggests two HPAI H5N1**
181 **subpopulations during mammal-to-mammal transmission in Argentina.** Across the genome, we
182 identified more than 64 amino acid changes in the H5N1 HPAI viruses from Península Valdés when
183 compared viruses from birds and mammals from Argentina, other South American countries, Antarctica,
184 North America (genotype B3.2 from 2022–2023) and the original Goose/Guangdong (Gs/Gd)
185 (Supplementary Table 4). Of the 64 mutations, 18 are potentially associated with increased virulence,
186 transmission or adaptation to mammalian hosts, and fifteen are present in H5N1 viruses from Argentina's
187 coastal outbreaks in marine mammals and terns but absent in H5N1 (B3.2 genotype) strains from North
188 America and from goose/poultry strains from Argentina (Supplementary Table 4). Of note, eleven of the
189 fifteen common mutations were also present in the human case in Chile (Supplementary Table 5).

190 Argentina's marine mammal viruses inherited eight amino acid changes that emerged previously in
191 marine mammals in Chile and Peru that were never seen in H5N1 HPAI viruses circulating in birds in
192 South America and appear to be specific to the marine mammal clade (Figure 4): Q591K and D701N in

193 PB2; L548F in PB1; A20T, M86I, and M548I in PA; and R21Q and I226T in NS1. Almost all mutations
194 (except L548F in PB1) were also present in the two Brazil marine mammal viruses. The conservation of
195 seven amino acid changes across all marine mammal viruses collected from three countries over eight
196 months (Chile, Argentina, Brazil; March through October) further supports the existence of an
197 independent chain of virus transmission among marine mammals, separate from avian transmission
198 chains. In addition to nonsynonymous mutations, four silent mutations in PB1 (A1167T), PA (C1359T),
199 and NP (C669T and T1239C) were found in marine mammal viruses in Argentina that were inherited
200 from marine mammal viruses circulating in Peru and/or Chile (Supplementary Figure 8).

201 Synonymous and non-synonymous mutations also occurred during H5N1 2.3.4.4b circulation in
202 Argentina, leading to the evolution of two distinct subpopulations defined by specific mutations. The first
203 Argentina subpopulation is defined by a new V122I substitution in PB2 and the loss of the L548F
204 substitution in PB1 (owing to a secondary substitution), and also detected in marine mammals in Brazil
205 (Figure 4). The second Argentina subpopulation is defined by a new Q621K substitution in PB1, which in
206 almost all cases is accompanied by mutation A133S in HA. This A133S substitution in HA that was seen
207 in Argentina in South American terns (n = 2), South American sea lions (n = 4), and an elephant seal (n =
208 1) (note: this HA region could not be sequenced from the South American fur seal) was not observed in
209 previous H5N1 pinniped outbreaks in South America, North America or Europe, nor in bird outbreaks
210 from South America (Figure 4, Supplementary Table 5). Of note, both subpopulations were found in the
211 terns and elephant seals sampled for this study and in mammalian and avian hosts in a multi-species
212 outbreak at Punta Bermeja (~260 km north of Punta Delgada) in August 2023²³, but not in the first H5N1
213 HPAI detection in Argentina, nor in any of the viruses from poultry in this country (Figure 4,
214 Supplementary Table 5).

215

216 **DISCUSSION**

217 Since 2020, the world has witnessed an unprecedented global epizootic of H5N1 clade 2.3.4.4b viruses
218 with a catastrophic ecological impact on wildlife species, including pinnipeds. Although H5N1 HPAI
219 viruses were previously implicated in mortalities of harbor seals (*Phoca vitulina*) and gray seals
220 (*Halichoerus grypus*) in Europe in 2016–2021^{28–30} and in North America in May–July 2022^{17,19}, the
221 magnitude of those mortalities (<200 deaths in total) would pale in comparison with the impacts that
222 ensued when these viruses arrived in South America. At least 30,000 sea lions have died in Peru, Chile,
223 Argentina, Uruguay and Brazil^{10,12–14,20,22–25}. In addition, HPAI caused the largest mortality event of
224 elephant seals recorded to date, with the death of >17,000 pups and an unknown number of adults at

225 Península Valdés, Argentina²⁶. Our epidemiological account of this outbreak is the first to provide clinical
226 observations with ecological context for H5N1 HPAI infection in elephant seals. Furthermore, our viral
227 genome data provides evidence for the evolution of a novel marine mammal clade of H5N1 (2.3.4.4b)
228 HPAI virus that has spread among pinnipeds in several countries of South America, revealing mutations
229 that may have enabled their ability to infect mammals while also retaining the ability to spillover to avian
230 hosts.

231 While serological surveys indicate broad exposure to influenza A viruses (IAV) in pinnipeds globally,
232 mass mortality events have been rare^{31–33}. Prior to 2022, the largest IAV outbreak in pinnipeds occurred in
233 1980, when H7N7 HPAI viruses killed 400–500 harbor seals at Cape Cod, USA, representing ~20% of
234 the species' local population^{34,35}. Other significant pinniped mortalities attributed to IAV comprise the
235 death of 162 harbor seals in New England, USA, in 2011 due to H3N8 strain³⁶ and 152 harbor seals in
236 Denmark in 2014 due to H10N7 strain³⁷. Prior to 2023, no pinniped deaths had been attributed to IAV in
237 South America. There are also no published studies reporting on the detection of IAV (or antibodies
238 against them) in southern elephant seals. For northern elephant seals (*Mirounga angustirostris*), the only
239 IAV detections were asymptomatic infections with human-like H1N1 strains in California, USA, in
240 2009–2012 and 2019^{38–40}. Considering that IAV surveys on the Atlantic coast of South America have only
241 reported low pathogenicity avian influenza (LPAI) H11 and H13 strains in coastal birds^{41–43} and
242 antibodies against H1 strains in fur seals³², it is likely that southern elephant seals at Península Valdés
243 were naïve to H5 viruses until 2023.

244 Our data clearly shows that elephant seal pups were severely impacted by H5N1 at Península Valdés, but
245 the extent to which adult elephant seals were affected by HPAI is unclear. The unusually high number of
246 adult carcasses at Punta Delgada, as well as the abnormal haul-outs and the confirmed case in Golfo Nuevo
247 reported here, suggest that adult elephant seals are susceptible to H5N1 (2.3.4.4b) HPAI infection.
248 Furthermore, the complete disruption of the social and breeding structure at Punta Delgada (evidenced by
249 the absence of harems and large alpha males and the presence of motherless pups) suggests that adult
250 elephant seals abandoned the colony prematurely, perhaps after becoming infected. Yet, it is difficult to
251 ascertain the number of adult deaths, which may have happened at sea and will only be accounted for via
252 population censuses at Península Valdés in coming years. Nevertheless, the fact that in 2023 the adult
253 females abandoned the beach before being impregnated (which normally occurs when pups are weaned⁴⁴)
254 suggests that this population will likely experience an atypically low birth rate in 2024, even if most adult
255 females survived.

256 From a disease evolution standpoint, there is growing concern that H5N1 viruses adapted to mammalian
257 transmission could facilitate host-jumps to other species, including humans. Mammal-to-mammal IAV

258 transmission is believed to have occurred sporadically among pinnipeds over the years^{34–36,42,45,46}. The
259 recent demonstration that the H5N1 strain from a human case in Chile (which belongs to the marine
260 mammal clade discussed in this study) is transmissible between co-housed ferrets⁴⁷ also supports the
261 notion that mammal-to-mammal transmission could have played a role in the spread of these viruses in
262 marine mammal communities in South America. We believe that the high mortality rate in elephant seal
263 pups is also consistent with mammal-to-mammal transmission, as pups are toothless and nurtured
264 exclusively through nursing from their mothers. Contact with wild birds is minimal and could not explain
265 the death of ~95% of all pups born in a matter of weeks. Some newborns may have been infected before
266 birth, as transplacental transmission of H5N1 HPAI viruses has been reported in humans⁴⁸ and high virus
267 loads were detected in aborted sea lion fetuses^{10,23}. Yet, how their mothers would have been infected in
268 the first place without mammal-to-mammal transmission presents a thornier question. Feeding is an
269 unlikely route, since the diet of elephant seals relies on squid, fish and crustaceans captured in deep
270 waters^{44,49}, and adult elephant seals will fast while on land^{44,50}. Moreover, elephant seals are pelagic and
271 only come to shore to breed and later to molt, thus limiting the time window for inter and intraspecific
272 interactions and transmission^{44,51}. The main interactions between birds and elephant seals involve
273 opportunistic scavenging of elephant seals' placental remains, molted skin and carcasses by gulls⁵⁰
274 (Supplementary Figure 1E), which provides more opportunities for mammal-to-bird transmission than
275 vice-versa. In this context, although there are still many unknowns about the precise viral transmission
276 routes (e.g., contact, environmental, aerosol), mammal-to-mammal transmission seems the most plausible
277 hypothesis to explain the rapid and multinational spread of H5N1 HPAI viruses among pinnipeds in
278 South America.

279 In the case of Península Valdés, H5N1 infection in sea lions could have been an initial source of virus
280 exposure for the elephant seals. Notably, the epidemic path of HPAI along coastal Argentina left virtually
281 no rookery or stretch of beach without affected sea lions from south to north^{23,52}, and then progressed to
282 neighboring Uruguay and Brazil^{24,25}. This unrelenting spread along the Atlantic mirrored that seen along
283 the Pacific, with the common denominator being infected sea lions^{10,20} (Figure 5). South American sea
284 lions regularly visit multiple rookeries and haul-outs, sometimes interacting aggressively with other
285 pinnipeds and even killing their pups^{53,54}. At Punta Delgada, we observed numerous sea lion carcasses
286 (Figure 1A, Table 2) and witnessed aggressive interactions between sea lions and elephant seals
287 (Supplementary Figures 1C and 1D). Government veterinarians who monitored sea lion rookeries in
288 Argentina noted that animals showing clinical signs of HPAI survived for several days and often
289 abandoned the rookeries while ill (Veronica Sierra, pers. comm.). It is plausible that these sea lions visited
290 different sites during their convalescent period, including elephant seal colonies, and may have played a
291 key role in the spread of H5N1 viruses. In addition, mammal-to-bird spillovers do not seem improbable

292 given the frequent observations of gulls and other avian scavengers feeding on sea lion and elephant seal
293 carcasses in Argentina (Supplementary Figure 1E). It is unclear how terns (which are not scavengers)
294 were infected, and further studies may help clarify the potential role played by gulls as bridge hosts from
295 pinnipeds to other seabirds.

296 Mammal-to-mammal transmission is also supported by our regional phylogenetic analysis, which
297 identified a novel H5N1 2.3.4.4b clade with viruses that appear to be specific to marine mammals. This
298 marine mammal clade comprises strains with mutations that were not present in H5N1 2.3.4.4b viruses in
299 birds (wild and domestic) from Peru, Chile, Argentina, Uruguay and Brazil, excepting occasional
300 spillovers from marine mammals to coastal birds (terns and sanderling; Supplementary Tables 4 and 5).
301 Some of these mutations (such as Q591K and D701N in PB2) are associated with increased virulence,
302 transmission, or adaptation to mammalian hosts^{55–57} and have been maintained since they first emerged in
303 H5N1 HPAI viruses in marine mammals in Chile. The maintenance of a unique cassette of mutations in
304 viruses from marine mammals (Figure 4), the lower rate of evolution of these viruses (Figure 3B), and the
305 distinct pathways of spread across host groups (Figure 3A) and geographical areas (Figure 5), strongly
306 support the hypothesis that viruses from the novel H5N1 marine mammal clade had an independent chain
307 of virus transmission among marine mammals, separate from the avian transmission chains in Argentina
308 and other countries, and retained the capacity to spillover to terns.

309 To our knowledge, the H5N1 HPAI 2.3.4.4b marine mammal clade identified in South America
310 represents the first multinational transmission of HPAI in mammals ever observed globally. Over the last
311 century, LPAI H1, H2, H3, and H7 viruses have periodically jump into mammals, including humans,
312 swine, canines, and equines, causing major outbreaks and pandemics⁵⁸. Spillover of H5N1 2.3.4.4b clade
313 also regularly occurs in humans and terrestrial and marine mammals on a global scale, but onward
314 transmission in mammals is limited and not sustained over time, leading to speculation that the H5
315 subtype perhaps is not capable of causing a pandemic^{19,59,60}. Despite gaps in the available data, our
316 epidemiological and phylogenetic results support the hypothesis that the spread of viruses from the novel
317 marine mammal clade in South America has occurred via mammal-to-mammal transmission, but as with
318 any hypothesis, this is subject to revision as more data become available.

319 The implications of sustained mammal-to-mammal transmission of H5N1 HPAI viruses could be far-
320 reaching, both from a conservation and a public health perspective. From the standpoint of wildlife
321 conservation, this is particularly concerning for endangered pinnipeds with limited geographic distribution
322 such as Caspian seals (*Pusa caspica*), Hawaiian monk seals (*Neomonachus schauinslandi*), among others⁶¹.
323 Significant mortalities of southern elephant seals and Antarctic fur seals have already been attributed to
324 H5N1 HPAI in South Georgia^{62,63}, although it is not clear whether the viruses involved in these cases belong

325 to the marine mammal clade identified in this study or if instead they are similar to viruses detected in
326 brown skuas (*Stercorarius antarcticus*) from the same archipelago, which cluster with avian viruses from
327 inland Argentina⁶². The detection of marine mammal clade viruses in dead dolphins and porpoises in Chile¹⁴
328 is also concerning, since 23% of the world's odontocete species are already threatened with extinction⁶⁴. If
329 pinnipeds become a sustainable reservoir for H5N1 HPAI viruses that retain the capacity to infect wild
330 birds, coastal bird species could be repeatedly affected by spillover infections. Furthermore, the
331 implications could become even more severe if the marine mammal clade viruses evolve to enable
332 transmission among terrestrial mammals, or if additional gene reassortment occurs with South American
333 LPAI viruses present in Argentina^{41,43,65,66}, potentially expanding either the host range, pathogenesis and/or
334 transmission in wildlife.

335 From a public health perspective, mammal-to-mammal transmission could be a critical stepping-stone in
336 the evolutionary pathway for these viruses to become capable of human-to-human transmission and thus
337 potentially pandemic⁶⁷. As mentioned previously, some of the mutations found in the strains of the marine
338 mammal clade are already known to be of concern. Specifically, the mutation D701N in PB2 has been
339 shown to compensate for the lack of the E627K mutation in PB2 in terms of improved viral growth in
340 mammalian cells and enhanced aerosol transmission of H3N2 and H5N1 viruses⁶⁸. On the other hand, the
341 phenotypic effects of mutations in other gene segments found in the H5 viruses from our study
342 (Supplementary Table 5) are not yet known, and the possibility that some of them may also open
343 evolutionary pathways that enhance the virulence or transmission of these viruses to mammals (including
344 humans) cannot be ruled out. The fact that the H5N1 HPAI virus detected in a human case in Chile⁶⁹
345 belongs to the marine mammal clade described in this study, highlights the potential risk to public health.
346 Moreover, given pinniped susceptibility to multiple IAVs (including human-like strains³⁸⁻⁴⁰), and their
347 frequent intermingling with other avian and mammalian hosts, co-infections could occur, potentially
348 enabling the emergence of reassorted strains^{36,70}. Hence, while there is no evidence for genomic
349 reassortment occurring in pinnipeds at this time, the broad circulation of H5N1 HPAI viruses in marine
350 mammals is a warning we must not ignore.

351 In conclusion, as recently demonstrated by the detection of HPAI H5N1 viruses in ruminants⁷¹, few if any
352 compartments and species are outside the scope of the clade 2.3.4.4b strains. Thus, moving forward,
353 HPAI management requires holistic strategies that recognize the interconnectedness of human, animal,
354 and environmental health and safeguard biodiversity, promote sustainable practices, and enhance
355 resilience globally to emerging infectious diseases.

356

357 METHODS

358 **Study species.** Southern elephant seals are widely distributed in Subantarctic islands, with a single
359 continental colony at Península Valdés, Patagonia, Argentina (representing ~5% of the global
360 population)⁶¹. The species has a well-defined annual life cycle, which we summarize as follows based on
361 studies at Península Valdés^{44,51}. Adult (and subadult) males and females haul-out in late August and early
362 September, with alpha males establishing and defending harems (median 11–13 females per harem, with a
363 maximum of 134 females); subordinate males are chased away but remain along the margins of harems.
364 Most females are pregnant when they come ashore, giving birth within 5.7 ± 1.9 days after arrival (80% of
365 pups are born by 2 October). Pups are toothless and will nurse for 22.4 ± 1.7 days; during this period the
366 females will fast and remain with their pups, under the protection of the alpha male. Copulations will
367 begin 20.3 ± 2.1 days after parturition, i.e. shortly before females wean their pups. The female then
368 abandons the pup and returns to the sea to forage; on average, females spend a total of 28.2 ± 2.5 days
369 ashore, fasting. Males also fast on land and will abandon the beach approximately at the same time as
370 females; adult seals are nearly absent by mid-November. Weaned pups will remain on the beach for
371 several weeks, fasting while they complete their development and are ready to go to sea to forage.
372 Juveniles and adults will return to the beaches later in the season to undergo molt, with juveniles molting
373 earlier (November to January) than subadults and adults (December to February).

374 **Study site and field observations.** Península Valdés is located in Chubut, Argentina, and is a UNESCO
375 World Heritage site of global significance for the conservation of marine wildlife. We studied two sites at
376 Península Valdés: the elephant seal breeding colony at Punta Delgada and the interior beaches of Golfo
377 Nuevo where sporadic seal haul-outs occur. Punta Delgada (from 42.753°S 63.632°W to 42.771°S
378 63.649°W) is a 3-km beach on the exposed seashore of Península Valdés (Supplementary Figure 1) where
379 southern elephant seals breed in high densities^{72,73}. Field surveys were conducted on 5-Oct-2022 (baseline
380 year), and during the mortality event on 10-Oct-2023, 3-Nov-2023 and 13-Nov-2023. In each survey, a
381 team equipped with binoculars walked along the clifftop to count live and dead elephant seals,
382 differentiating individuals by sex and age class (pup, weaner, juvenile, subadult male class 1–4, adult
383 male, adult female) and male dominance status (alpha or subordinate)^{74,75}. For outbreak investigation in
384 2023, a second team of trained veterinarians wearing full PPE descended to the beach to document
385 clinical signs and collect samples from affected animals and count the carcasses of other wildlife species.
386 We also covered a 50-km stretch of interior beach in Golfo Nuevo, from Cerro Prismático (42.595°S
387 64.811°W) to Cerro Avanzado (42.835°S 64.874°W), including the city of Puerto Madryn (~130,000
388 inhabitants) (Supplementary Figure 2). Elephant seals do not breed in this area, but sporadic haul-outs are
389 reported by the public and park rangers to the Red de Fauna Costera de la Provincia del Chubut (RFC).

390 Data on the age, sex, condition, location, and date of each seal were extracted from RFC records for 2022
391 and 2023.

392 **Sample collection.** On 10-Oct-2023, a team of trained veterinarians wearing full PPE descended to the
393 beach at Punta Delgada to collect samples from affected animals. Post-mortem swabs (oronasal, rectal,
394 tracheal, lung and brain) were collected from four elephant seal pups, six South American terns (*Sterna*
395 *hirundinacea*) and two royal terns (*Thalasseus maximus*) found dead (carcasses still in *rigor mortis*). On
396 1-Nov-2023, swabs were obtained from a subadult male elephant seal that hauled-out and died in Golfo
397 Nuevo. Swabs were placed in cryotubes containing 1 mL of DNA/RNA Shield (Zymo Research, Irvine,
398 CA, USA) for inactivation, and stored in a cooler with icepacks, then transferred to -80°C within 24
399 hours.

400 **Virus detection.** Samples from four elephant seal pups, five adult South American terns and two adult
401 royal terns were pooled according to species and sampled tissue and other pools were prepared with all
402 samples from the dead subadult male elephant seal (oronasal, rectal and lung) and from a juvenile South
403 American tern (brain, lung, oronasal and rectal). Viral RNA was extracted from 140 μL of suspension
404 from swabs using a QIAamp Viral RNA Mini Kit (Qiagen, Valencia, CA, USA). RNA was eluted in a
405 final volume of 60 μL and stored at -80°C . Viral cDNA was prepared using 15 μL of viral RNA and
406 random hexamers in a final volume of 30 μL using a High-Capacity cDNA Archive kit (Applied
407 Biosystems, Foster City, CA, USA). The cDNA from all pooled samples were tested for influenza A
408 viruses by RT-qPCR using TaqMan Universal PCR Master Mix (Applied Biosystems) directed to the
409 matrix gene⁷⁶. Positive samples from elephant seals were then tested using primers and probes for H5
410 clade 2.3.4.4b detection⁷⁷. Quantification cycle (Cq) values were used as a proxy to compare viral RNA
411 load in different samples and to facilitate sample selection for full genome sequencing. RT-qPCR
412 reactions were performed on an ABI Prism 7500 SDS (Applied Biosystems).

413 **Full genome sequencing.** The viral genome was amplified from RNA using a multi-segment one-step
414 RT-PCR with Superscript III high-fidelity RT-PCR kit (Invitrogen, Carlsbad CA) according to
415 manufacturer's instructions using the Opti1 primer set (Opti1-F1, Opti1-F2 and Opti1-R1) previously
416 described⁷⁸. Amplicons were visualized on a 1% agarose gel and purified with Agencourt AMPure XP
417 beads (Beckman Coulter, Brea, CA). The concentration of purified amplicons was quantified using the
418 Qubit High Sensitivity dsDNA kit and a Qubit Fluorometer (Invitrogen). The sequencing library was
419 prepared with the Rapid Barcode library kit SQH-RBK110.96 (Oxford Nanopore, Oxford, UK) and
420 loaded on the Mk1c sequencer according to ONT instructions for the R.9 flow cells. Real time basecalling
421 was performed with MinIT (Oxford Nanopore); the automatic real time division into passed and failed
422 reads were used as a quality check, excluding reads with quality score < 7 . Quality-checked reads were

423 demultiplexed and trimmed for adapters and primers, followed by mappings and a final consensus
424 production with CLC Genomics Workbench v23.0.2 (Qiagen).

425 **Phylogenetic analysis.** To place the coastal Argentinean viruses in a global context, we downloaded HA
426 gene sequences from HPAI H5N1 clade 2.3.4.4b viruses globally from GenBank and GISAID since
427 January 1, 2021. Phylogenetic relationships were inferred for HA gene using the Maximum likelihood
428 (ML) methods available in IQ-Tree 2⁷⁹ with a GTR model of nucleotide substitution with gamma
429 distributed rate variation among sites. Due to the size of the dataset, we used the high-performance
430 computational capabilities of the Biowulf Linux cluster at the National Institutes of Health
431 (<http://biowulf.nih.gov>). To assess the robustness of each node, a bootstrap resampling process was
432 performed with 1000 replicates.

433 To study how the H5N1 HPAI outbreaks in Argentina were connected to outbreaks occurring in other
434 South American countries, we performed a phylogenetic analysis of 11 available H5N1 virus genomes
435 from Patagonia Argentina from three species of marine mammals and two species of terns, along with
436 225 closely related H5N1 virus genomes obtained from avian and mammalian hosts in five South
437 American countries (Argentina, Brazil, Chile, Peru, Uruguay) and Antarctica available from GISAID
438 and/or GenBank public databases (Supplementary File 2). Alignments were generated for each of the
439 eight segments of the virus genome (PB2, PB1, PA, HA, NP, NA, MP, and NS) using MAFFT v7.490⁸⁰.
440 Phylogenetic trees were inferred for each segment individually using maximum-likelihood methods with
441 a GTR+G model of nucleotide substitution and 500 bootstrap replicates, using the CLC Genomics
442 Workbench v23.0.2 (Qiagen) and the inferred trees were visualized. Since the H5N1 viruses were
443 collected from a recent outbreak and had little time to accrue mutations and diversify, limiting genetic
444 diversity, all Bayesian analyses were performed using concatenated genome sequences (13,140 nt) to
445 improve phylogenetic resolution (after removing reassortants and viruses that did not have sequence data
446 available for all eight segments).

447 We performed a time-scaled Bayesian analysis using the Markov chain Monte Carlo (MCMC) method
448 available using the BEAST package pre-release v1.10.5 (compiled on 24-Apr-2023)⁸¹, using GPUs
449 available from the NIH Biowulf Linux cluster. First, the analysis was run with an exponential growth
450 demographic model, a GTR+G model of nucleotide substitution, and an uncorrelated lognormal relaxed
451 molecular clock. To account for the possibility that high rates of convergent evolution involving adaptive
452 mutations following host-switches (see mutation analysis below) could artificially cluster marine mammal
453 viruses on the tree that do not actually share common ancestry, a second tree was inferred for the third
454 codon position only. The MCMC chain was run separately 3–5 times for each dataset using the BEAGLE
455 3 library⁸² to improve computational performance, until all parameters reached convergence, as assessed

456 visually using Tracer version 1.7.2⁸³. At least 10% of the chain was removed as burn-in, and runs for the
457 same dataset were combined using LogCombiner v1.10.4. An MCC tree was summarized using
458 TreeAnnotator v1.10.4. All XMLs and output files are available in Supplementary File 2.

459 After the initial analysis determined that the vast majority of H5N1 viruses collected from marine
460 mammals clustered together in a well-supported clade (posterior probability = 1.0), in both the whole
461 genome and third codon analyses, we repeated the BEAST analysis using a more appropriate host-
462 specific local clock (HSLC)⁸⁴ to accommodate differences in the evolutionary rate between marine
463 mammals and avian hosts. For the HSLC analysis, any singleton avian and human viruses positioned in
464 the marine mammal clade (likely representing transient dead-end spillovers) were excluded to ensure
465 monophyly. Similarly, any singleton marine mammal viruses positioned in the major avian clade (which
466 also likely represent transient dead-end spillovers from birds to marine mammals) were excluded.

467 To compare evolutionary rates in marine mammals and avian hosts across the eight different segments of
468 the virus genome, the analysis was repeated using eight genome partitions (PB2, PB1, PA, HA, NP, NA,
469 MP, NS). A phylogeographic discrete trait analysis⁸⁵ was performed to quantify rates of viral gene flow
470 between different host groups (wild bird, poultry, marine mammal, human) as well as between locations
471 (Argentina, Brazil, Peru, Chile, Uruguay, Antarctica). Since extensive virus gene flow was observed
472 between Chile/Peru, which is not the focus of this study, a single combined Chile/Peru location category
473 was used. A location state was specified for each viral sequence based on the host species and location of
474 collection. The expected number of location state transitions in the ancestral history conditional on the
475 data observed at the tree tips was estimated using ‘Markov jump’ counts^{86,87}, which provide a quantitative
476 measure of asymmetry in gene flow between defined populations. To estimate absolute rates of
477 synonymous and non-synonymous substitutions as well as dN/dS, we employ a ‘renaissance counting’
478 procedure that combines Markov jump counting with empirical Bayes modeling⁸⁸. R v4.3.2⁸⁹ was used to
479 summarize and visualize the outputs of these analyses.

480 **Mutation analysis.** Consensus nucleotide sequences for the eight open reading frames were translated to
481 protein and compared to viruses from birds and mammals from Argentina, other South American
482 countries, Antarctica, North America (genotype B3.2 from 2022–2023), and reference strains from Asia
483 (A/goose/Guangdong/1/1996 and A/Vietnam/1203/2004).

484

485 **DATA AVAILABILITY**

486 We gratefully acknowledge the authors and both originating and submitting laboratories of the sequences
487 from GISAID's EpiFlu™ Database on which this research is based. GenBank accession numbers for all
488 the sequences generated as part of this study are provided in Supplementary Table 3. In addition, XMLs,
489 MCC and ML trees, and GISAID acknowledgement tables are also provided in Supplementary File 2.

490

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502

503 **AUTHOR CONTRIBUTIONS**

504 Author contributions were as follows: Study design: M.M.U., A.R. Funding: M.M.U., V.F., A.R. Sample
505 and data collection: M.M.U., R.E.T.V., J.C., V.Z., V.F., C.C. Virus detection and virus sequencing:
506 V.S.O., A.R. Phylogenetic analyses: M.I.N., A.R., P.L. Data analysis and interpretation: M.M.U.,
507 R.E.T.V, M.I.N., A.R. Writing of the manuscript: M.M.U., R.E.T.V., M.I.N., A.R. All authors approved
508 the manuscript before submission.

509

510 **CONFLICT OF INTEREST**

511 The authors declare that they have no conflict of interest.

512

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717

718

719 **FIGURE LEGENDS**

720

721 **Figure 1. Mass mortality, clinical signs and post-mortem findings of elephant seals at Punta**

722 **Delgada (Península Valdés, Argentina) during an outbreak of H5N1 HPAI.** A) Hundreds of elephant
723 seal pup carcasses accumulated along the high tide line of the beach at Punta Delgada; a sea lion carcass
724 (arrow) and patchily distributed living elephant seals (far background behind the arrow) are also visible.
725 B) Pup presenting with labored breathing and foamy nasal discharge. C) Pup presenting with open mouth
726 breathing and tremors/twitching. D, E) Abundant white foam on the snout and draining from the trachea
727 of a dead pup. G) Markedly heterogeneous and congested lung surface in a dead pup. H) Bloody and
728 mucous nasal discharge in a dead subadult male.

729 **Figure 2. Phylogenetic tree of H5N1 HPAI (2.3.3.4b) viruses in South America.** Time-scale MCC tree
730 inferred for the concatenated genome sequences (~13kb) of 236 H5N1 influenza A viruses (clade
731 2.3.3.4b) collected in five South American countries (Argentina, Brazil, Chile, Peru, Uruguay) and
732 Antarctica. Three spillover events into marine mammals, including the marine mammal clade, are labeled.
733 Branches are shaded by inferred host species and location (13 categories). Posterior probabilities provided
734 for key nodes. Tip labels provided for all mammalian viruses and a selection of avian viruses.

735 **Figure 3. Phylodynamics of H5N1 HPAI (2.3.4.4b) viruses in South American marine mammals and**
736 **birds.** (A) Direction of virus gene flow between locations and hosts, inferred from “Markov jump” counts
737 across the posterior distribution of trees inferred using a Bayesian approach (values under 0.5 excluded).
738 Different host groups are indicated with different colors: avian (green) and mammal (blue). (B) Posterior
739 distributions of evolutionary rates (substitutions per site per year) inferred for the complete virus genome
740 (all positions) and for only the third nucleotide position for H5N1 (2.3.4.4b) in South America,
741 partitioned into two host categories: marine mammal and wild bird/poultry.

742 **Figure 4. Mutations defining the marine mammal clade of H5N1 HPAI (2.3.4.4b) viruses.** Amino
743 acid changes are listed for new mutations that arose in the marine mammal clade of the H5N1 HPAI
744 (2.3.4.4b) viruses that are not observed in any other avian viruses included in this study from South
745 America, mapped against the subsection of the MCC tree with the marine mammal clade (see Figure 2).
746 Virus names and associated mutations are colored by country. Location/month of collection (in 2023) are
747 listed for Argentina and Brazil. A question mark indicates that no sequence data is available at that
748 position for that virus. H5 numbering is used for HA.

749 **Figure 5. Chronology and hypothesized pathways of spread of H5N1 HPAI (2.3.4.4b) viruses in**
750 **South America, 2022–2023.** H5Nx HPAI detections (1-Sep-2022 to 1-Nov-2023) reported to the World
751 Animal Health Information System (WAHIS/WOAH) are represented with orange circles (wild birds),
752 green triangles (domestic birds) and blue squares (mammals). The location of the outbreak investigated in
753 this study (Península Valdés) is highlighted in red. Arrows represent the timeline of hypothesized
754 pathways of virus spread, as derived from the chronology of detections and our phylodynamic analysis.
755 The pathways of virus spread and significant events of the avian and marine mammal clade viruses are
756 represented in dark orange and dark blue, respectively. Dark yellow represents incidental avian hosts of
757 marine mammal clade viruses (i.e. spillover). Note that virus spread pathways in this figure are intended
758 as a conceptual model and are not geographically precise.

759

A



B



C



D



E

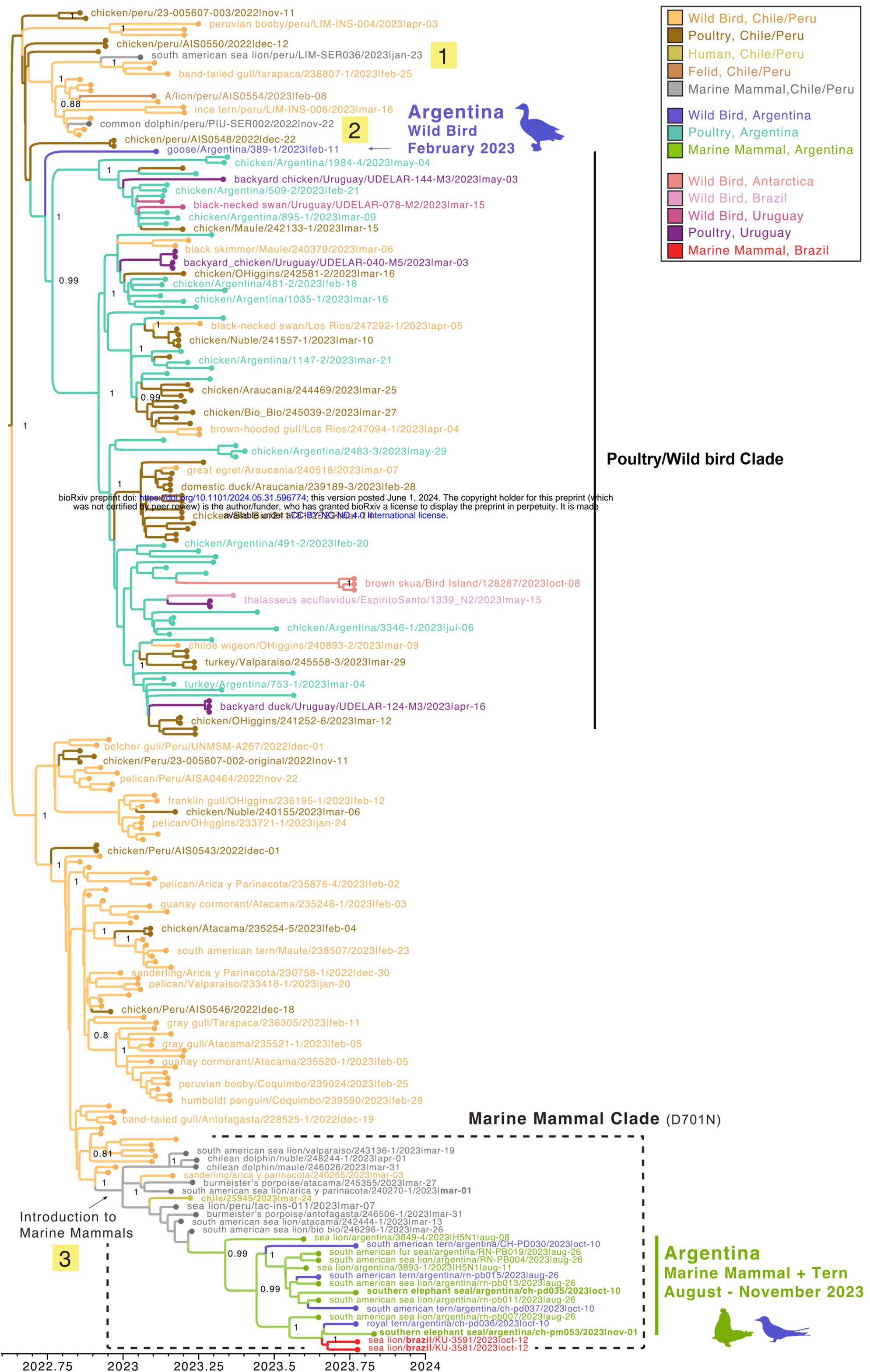


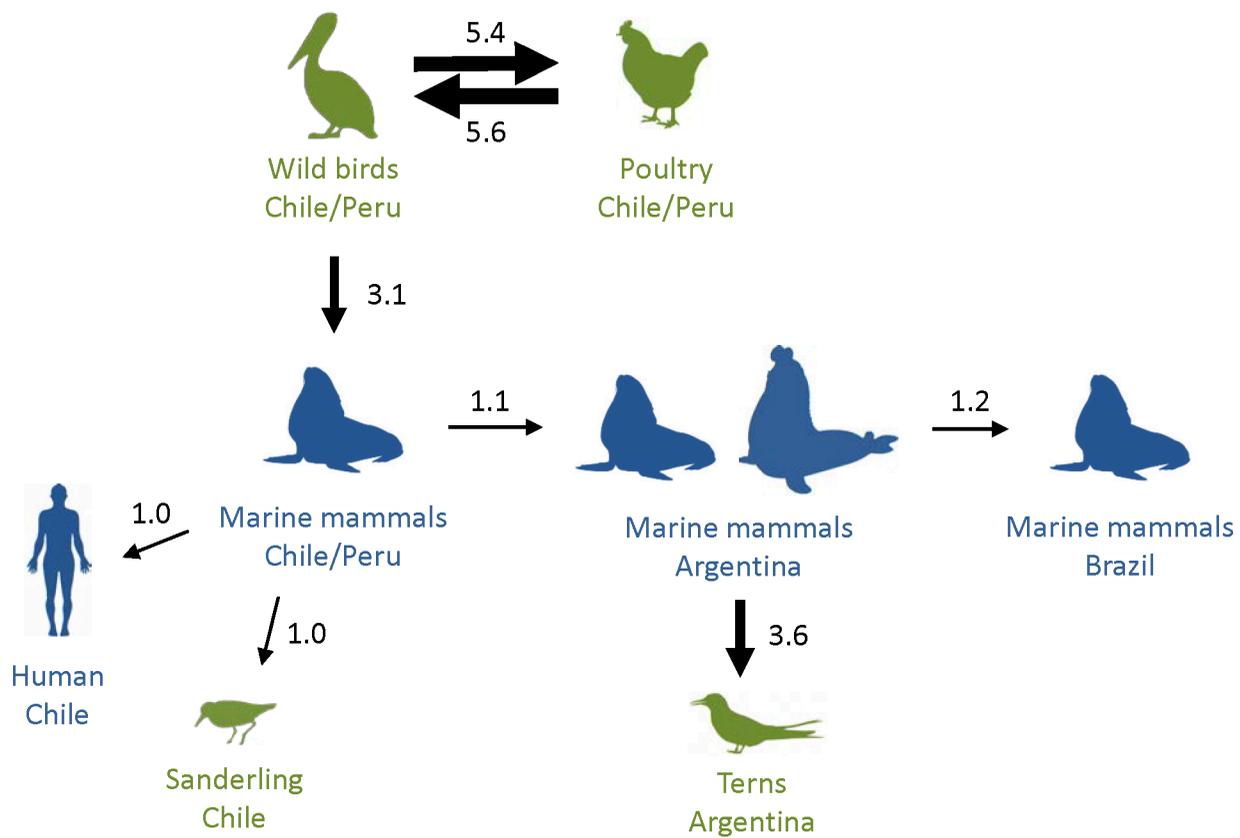
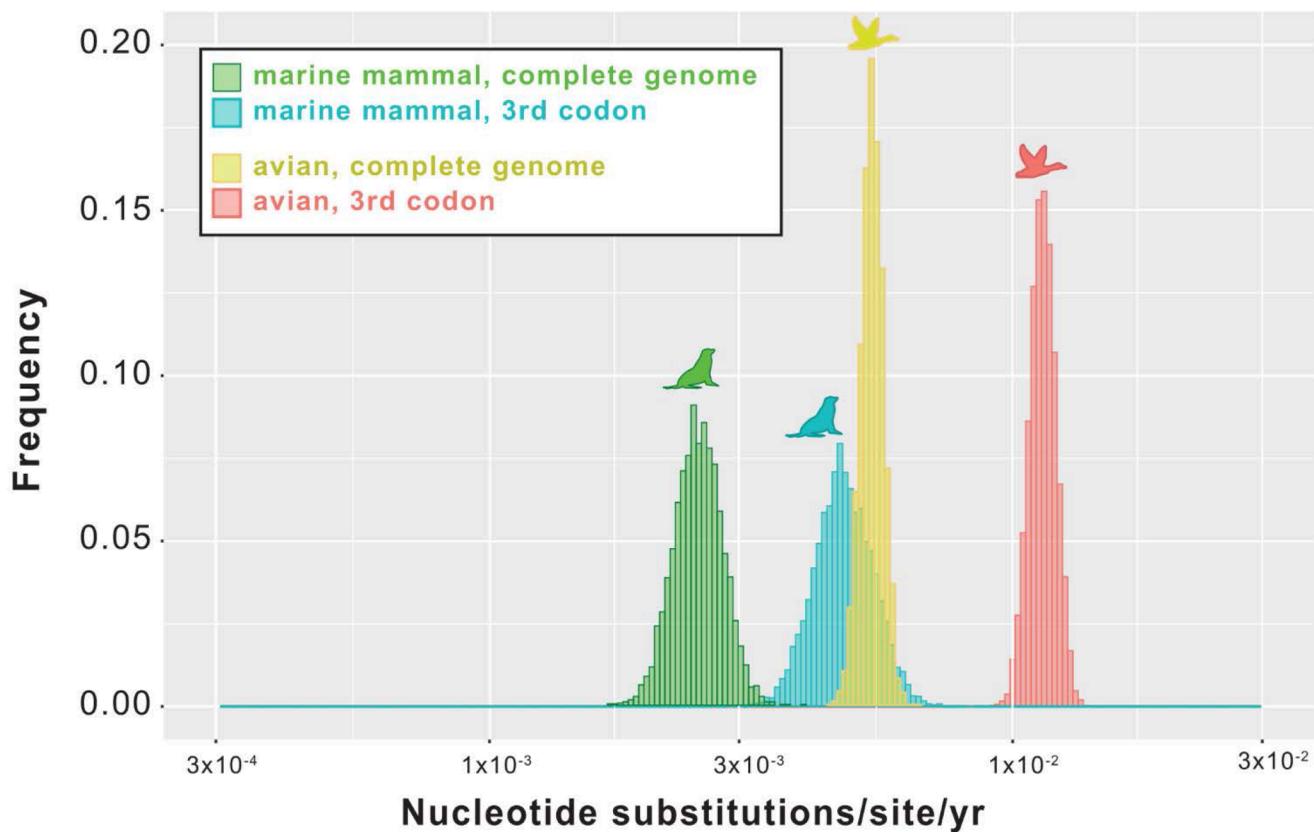
F



G





A**B**

H5N1 HPAI detections:

- ▲ Birds (domestic)
- Birds (wild)
- Mammals



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Table 1. Number of living and dead southern elephant seals (*Mirounga leonina*) at Punta Delgada breeding colony (Península Valdés, Argentina) during the 2022 season (baseline) and the 2023 mortality event.

Status	Age class, sex and male alpha status	Baseline	Mortality event		
		5-Oct-2022	10-Oct-2023	3-Nov-2023	13-Nov-2023
Living	Pups	647	218	10	8
	Weaners	58	17	30	30
	Alpha males (subadult 4 or adult)	18	4	1	0
	Alpha males (subadult 1 to 3)	0	13	2	0
	Subordinate males (subadult 1 to 3)	47	28	25	9
	Adult females	746	370	12	9
	Juveniles	0	2	91	390
Dead	Pups	5	570	NE ^a	NE ^a
	Weaners ^b	0	0	2	2
	Subadults/Adults ^{b,c}	0	13	30	35
	Juveniles	0	0	0	0

Notes: ^a Not estimated because many carcasses had been buried under the dunes or removed by tides; ^b Degraded carcasses were also counted, hence counts should be interpreted as overlapping/cumulative; ^c Age subclasses and sexes combined, since carcass decomposition precluded the determination of age subclass and sex.

Table 2. Estimated number of dead individuals of other pinniped species and seabirds at Punta Delgada (Península Valdés, Argentina) in 2023, during the elephant seal mortality event.

Species	10-Oct-2023	3-Nov-2023	13-Nov-2023
South American sea lion (<i>Otaria byronia</i>)	20	4	8
South American fur seal (<i>Arctocephalus australis</i>)	0	1	0
South American tern (<i>Sterna hirundinacea</i>) ^a	c. 100 ^b	178 ^c	396
Royal tern (<i>Thalasseus maximus</i>) ^a	3	7	1
Cayenne tern (<i>Thalasseus acuflavidus eurygnathus</i>) ^a	1	2	2
Kelp gull (<i>Larus dominicanus</i>) ^a	3	10	15
Imperial cormorant (<i>Leucocarbo atriceps</i>) ^a	0	2	5
Great grebe (<i>Podiceps major</i>) ^a	0	1	3
Peregrine falcon (<i>Falco peregrinus</i>) ^a	1	1	1

Notes: ^a Degraded carcasses were also counted, hence counts should be interpreted as overlapping/cumulative; ^b One live symptomatic individual seen; ^c Four live symptomatic individuals seen.